

## Sheet 1 of 1

Substitute for form 1449/PTO, based on PTO/SB/08A and 08B

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

Application Number	10/660,382
Filing Date	September 10, 2003
First Named Inventor	Graetz et al.
Art Unit	1795
Examiner Name	Lee, Cynthia K
Attorney Docket Number	26-06

Confirmation No. 6022

GWS 6/23/2009

**U.S. PATENT DOCUMENTS**

Examiner Initial*	Cite No. <sup>1</sup>	Document Number (US-)	Publication Date (MM-DD-YYYY)	Name	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear (or entire document unless noted otherwise)
	1	2003/038024	02/27/2003	Hiromasa et al.	

**FOREIGN PATENT DOCUMENTS**

Examiner Initial*	Cite No. <sup>1</sup>	Foreign Patent Document Number (include WIPO country code )	Publication Date (MM-DD-YYYY)	Name	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear (or entire document unless noted otherwise)	T <sup>2</sup>

**NON-PATENT LITERATURE DOCUMENTS**

Examiner Initial*	Cite No. <sup>1</sup>	REFERENCE Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	2	Ahn et al. (2001) "Mechanically Milled Nanocrystalline Ni <sub>3</sub> Sn <sub>4</sub> and FeSi <sub>2</sub> Alloys as an Anode Material for Li-ion Batteries," <i>Journal of Metastable and Nanocrystalline Materials</i> , vol 10, pp 595-602	
	3	Bourderau et al. (1999) "Amorphous silicon as a possible anode material for Li-ion batteries," <i>J. Power Sources</i> , 81-82:233-236	
	4	Gao et al. (2001) "Alloy Formation in Nanostructured Silicon," <i>Advanced Materials</i> , vol. 13 no. 11, pp 816-819	
	5	Huang et al. (1999) "Electrochemical characteristics of Sn <sub>1-x</sub> Si <sub>x</sub> O <sub>2</sub> as anode for lithium-ion batteries," <i>J. Power Sources</i> , 81-82:362-367	
	6	Huggins (1998) "Lithium alloy negative electrodes formed from convertible oxides," <i>Solid State Ionics</i> , 113-115:57-67	
	7	Kim et al. (2001) "Effect of Si addition to thin-film SnO <sub>2</sub> microbattery anodes on cycling performance," <i>J. Power Sources</i> , 101:253-258	
	8	Yang et al. (2002) "SiO <sub>x</sub> -based anodes for secondary lithium batteries," <i>Solid State Ionics</i> , 152-153:125-129	

Examiner Signature	Date Considered
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<sup>1</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>2</sup>Applicant's unique citation designation number (optional).

<sup>3</sup>Applicant is to place a check mark here or "x" if English language Translation is attached.